LCH

CMS: Third Party Messaging Service Registration Form

To be read in conjunction with the Rulebook: https://www.lch.com/resources/rulebooks/lch-limited; and The Collateral Management System Access Agreement.

Please complete the form and return to collateral.product@lch.com; collateralOpsChangeTeam@lch.com

Clearing Member Information

Company Name: .... *Mnemonic(s):* …………………………..

Please provide at least one Mnemonic for identification purposes. Messages will be provided across all the Clearing Member's mnemonics and collateral accounts, at the member's discretion.

Reporting to Third Parties

Clearing Members may request that the Clearing House send the Margin Call Request (Colr.003), Statement of Holdings (MT535) and/or Statement Message (MT950), for specific Individually Segregated Accounts (ISAs), directly to the underlying client; or the asset manager or custodian acting on behalf of the client (the "**Third Party(ies)**").

If you would like to take advantage of this Third Party reporting, please fill out the relevant Third Party details provided below. The Clearing House will perform a SWIFT RMA exchange with each of the Third Parties. Once the RMA exchange is complete, the Clearing Member will be required to configure which ISA accounts are to be sent to which Third Party DN/BIC from within CMS (the "Third Party subscription"). The Clearing House shall be under no obligation to verify the accuracy of the Third Party subscription details and accepts no liability for any loss or damage caused by any inaccurate information.

Message Formats

Full context specific details of the LCH usage guidelines can be found on SWIFT MyStandards (https://www2.swift.com/mystandards/group/LCH_Clearnet) under the following collections:

- **LCH – Global – Collateral – MX Business Application Header**
- **LCH – Global – Collateral – MX Standard Messaging Service**
- **LCH – Global – Collateral – MT Standard Messaging Service**

Register Third Parties

Clearing Members must provide a valid SWIFT Distinguished Name (DN) and Business Identification Code (BIC) for each Third Party being registered for the Margin Call Request (Colr.003) message. Third Parties being registered for only Statement of Holdings (MT535) and/or Statement Message (MT950) need only provide a valid BIC.

      SWIFT DNs must be formatted as follows: [cn=<name>,[ou=<name>]],o=<bic8>,o=swift

      Example of a SWIFT DN address: cn= fund, o= bankbic8, o= swift

      SWIFT BICs must be either 8 or 11 characters

LCH will use the SWIFT BIC: LCHLGB2LCOL when sending messages from CMS via SWIFT.

Third Party Details

1 *Entity Name:* ....
Correspondent   *SWIFT*   *DN:*
....

Correspondent SWIFT BIC: | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ |

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

LCH

Third Party Details

2 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: |＿|＿|＿|＿|＿|＿|＿|＿| ＿ |＿|＿|

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

Third Party Details

3 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: |＿|＿|＿|＿|＿|＿|＿|＿| ＿ |＿|＿|

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

Third Party Details

4 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: |＿|＿|＿|＿|＿|＿|＿|＿| ＿ |＿|＿|

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

Third Party Details

5 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: |＿|＿|＿|＿|＿|＿|＿|＿| ＿ |＿|＿|

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

LCH

Third Party Details

6 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ |

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

Third Party Details

7 *Entity Name:* ....

Correspondent   *SWIFT*   *DN:*

....

Correspondent SWIFT BIC: | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ | _ |

RMA Key Exchange   **System**: Production (swift.fin)   **LCH** *Authority*: To Send

Contact name: ....

Telephone: .... *EMail:* ....

LCH Ltd BIC: LCHLGB2L   **Correspondent SWIFT BIC**: BIC8 as per above

Clearing Member Authorised signature

Name and title: ....

Date: .... / .... / .... *Signature:* ....

The signature here will be checked against the authorised signatory list held by LCH for the Member. Please provide evidence of signing authority for the Authorised Signatory, including specimen signature if not already provided to LCH.

LCH Internal Use Only

Collateral Ops

RMA Raised By: ....   *Authorised By:* ....

Date: .... / .... / ....   *Date:*   .... / .... / ....

Security Admin

Auth Created By: ....   *Auth Approved By:* ....

Date: .... / .... / ....   *Date:*   .... / .... / ....

Static Data

Input By: ....   *Authorised By:* ....

Date: .... / .... / ....   *Date:* .... / .... / ....

Collateral Ops

Member Informed Complete by: ....

Date: .... / .... / ....